



PERMODALAN BSN BERHAD 199401034061(319744-W)

Tingkat 2, Blok A, Wisma Bank Simpanan Nasional,
117 Jalan Ampang, 50450 Kuala Lumpur.
Tel : 03-2634 2200 Fax : 03-2177 1300 Email : info@pbsn.com.my
Website: www.pbsn.com.my

ACCOUNT OPENING FORM (PBSN-01) - CORPORATE

In accordance with the requirement of the Capital Market and Services Act 2007, this Form should not be circulated unless accompanied by the Prospectus(es)/ Information Memorandum(s) and Supplement (s) (if any). Investors are required to read and understand the contents in the Prospectus(es)/ Information Memorandum(s) and Supplemental(s) (if any) of the Funds before completing this Form.

Complete in **BLOCK LETTERS**, preferably in **BLACK INK** and Tick **(v)** where applicable. All items must be duly filled up accordingly.

INVESTMENT: Unit Trust Fund Wholesale Fund *(Please proceed to fill up Sophisticated Investor Declaration Form)*

FUND NAME (S): Amanah Saham Bank Simpanan Nasional BSN Dana Al-Jadid BSN Dana Dividen Al-Ifrah

BSN Dana Wakaf Al-Ikhlas BSN Dana Income Stream 1

Others (Please Specify): _____

1. COMPANY / INSTITUTION / ASSOCIATION / TRUST (ALL FIELDS ARE MANDATORY)		
Name of Company/Institution/Association/Trust: (as per Certificate of Incorporation)		Business Registration No:
		Tax Identification No :
Nature of Business :	Date of Incorporation (DD/MM/YYYY) :	
Status : <input type="checkbox"/> Incorporated in Malaysia, Bumiputra Controlled <input type="checkbox"/> Incorporated in Malaysia , Non-Bumiputra Controlled <input type="checkbox"/> Incorporated outside Malaysia _____ <i>(Please specify)</i> <input type="checkbox"/> US Citizen/Resident/Permanent Resident/Green Card Holder* <input type="checkbox"/> Others _____ <i>(Please specify)</i> <i>*Please submit Form W-9 of US Department of the Treasury Internal Revenue Service. A copy of Form W-9 can be obtained from the US IRS website at http://www.irs.gov/pub/irs-pdf/fw9.pdf</i>	Source of Fund for Investment : <input type="checkbox"/> Disposal of non-core business / assets / investments <input type="checkbox"/> Fund raising exercise such as rights issue. <input type="checkbox"/> Cash in hand / surplus fund / working capital. <input type="checkbox"/> Others _____ <i>(Please Specify)</i>	
Assets Net Worth (Please provide a copy of the latest audited account): <input type="checkbox"/> <RM10,000,000 <input type="checkbox"/> RM10,000,001 – RM50,000,000 <input type="checkbox"/> RM50,000,001 – RM100,000,00 <input type="checkbox"/> >RM100,000,001		
Office No:	Fax No:	Email:
Registered Business Address :		
Correspondence Business Address:		

2. AUTHORISED PERSON DETAILS	
Authorized Contact Person 1 (Name):	Designation & Department:
Email:	Office No. / Mobile No:
Authorized Contact Person 2 (Name):	Designation & Department:
Email:	Office No. / Mobile No:
Do you have any shareholders and directors who are "Politically Exposed Person"? <input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, please provide details: Name: Position in Company: Position / Designation as PEP:
Politically Exposed Person ("PEP") means: (a) Foreign PEP individual who is or who has been entrusted with prominent public functions by a foreign country, for example, Head of State or of government, senior politician, senior government, judicial or military official, senior executive of state-owned corporation, important political party official; (b) Domestic PEP individual who is or has been entrusted domestically with prominent public functions, for example Head of State or of government, senior politician, senior government, judicial or military official, senior executive of state-owned corporation, important political party official; or (c) Person who is or has been entrusted with a prominent function by an international organisation which refers to member of senior management, i.e. director, deputy director and member of the board or equivalent functions.	

3. DECLARATION PURSUANT TO COMMON REPORTING STANDARD (CRS) ON TAX RESIDENCY	
Are you a tax resident (s) in any country other than Malaysia? <input type="checkbox"/> Yes <input type="checkbox"/> No	
If YES, please list all countries/jurisdictions where the Entity is a resident for tax purposes and the associated taxpayer identification numbers ("TIN").	
Country / Jurisdiction of Tax Residency	Taxpayer Identification Number ("TIN") / Income Tax Reference Number
Please provide reason (s) for not providing the "TIN".	

4. SOPHISTICATED INVESTOR DECLARATION (APPLICABLE FOR WHOLESALE FUND ONLY)	
1. Company / Institutions	<input type="checkbox"/> High-Net-Worth Entity <input type="checkbox"/> Accredited Investor <input type="checkbox"/> Institution Consideration \geq RM250,000
Notes:	
i. Please fill up Sophisticated Investor Declaration Form after completing this application form. ii. Please provide the relevant documents to support the status of Sophisticated Investor.	

5. DISTRIBUTION INSTRUCTION

(ONLY applicable for Amanah Saham Bank Simpanan Nasional.)

Reinvestment Payment by cheque / online transfer[^]. If online transfer, please provide (**NO** third parties payment is allowed):

Name of account : _____

Name of Bank : _____

Account Number : _____

* Subject to the terms and conditions in the disclosures document e.g Master Prospectus / Information Memorandum and it's updates from time to time.

[^] Please choose only one.

6. DECLARATION

I/ We hereby declare that have received, read, and understood.

1. the latest content of relevant Prospectus (es)/ InformationMemorandum(s) and Supplemental(s) if any and agree to abide bythe Notes and Conditions as specified/enclosed together with this form.
2. wish to invest in the Fund(s) mentioned above and agree to be bound by the provisions of the Deed(s) and Supplemental(s) if any.
3. declare that the above particulars are true and complete, and that no information was withheld that may influence the acceptance ofthis application.
4. declare that this application is not funded by gains from any unlawful activities.
5. am/are over 18 years old on this application date.
6. am/are aware on the fees and charges that will be incurred indirectly or indirectly when investing in the Fund.
7. irrevocably consent & authorize Permodalan BSN Berhad to disclose any information/documents relating to me/us from time to time as PBSN deems fit in its absolute discretion.
8. consent to the use of my personal data as per notice (please read the Personal Data Protection Act 2010 under Notes and Conditions).
9. understand that Permodalan BSN Berhad reserves the right to revisethe notice from time to time and shall place any such revision on itswebsite and/or by such other means of communication.
10. Accepted if the documentation/ report is sent to us via digital i.e. email.

personal data information given by me/us is accurate, complete, and not misleading.

Authorised Signatory 1

Name:

Date :

Authorised Signatory 2 (if any)

Name:

Date :

Company Seal or Stamp

FOR DISTRIBUTOR'S USE ONLY		FOR PBSN OFFICE USE ONLY		
<input type="checkbox"/> CUTA <input type="checkbox"/> IUTA <input type="checkbox"/> Agent	Name :	Remarks		Attended By/ Date
	Code :			Approved By/ Date
	Date :	Received By/Date	Processed By/Date	Verified By/ Date

Latest updated on 11 December 2024

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IMPORTANT NOTES

NOTES AND CONDITIONS – Please read the following before completing this Form. By applying for units of the Funds managed by Permodalan BSN Berhad (“PBSN” or “the Manager”), you are bound by the terms stated below.

1. INSTRUCTIONS

All instructions given or purported to be given via any written or facsimile transmission by the applicant, as named in this Form or otherwise in writing are binding on the applicant. Duly completed forms or instructions from the applicant are deemed irrevocable.

2. DOCUMENTS REQUIRED

a. Company/Institution/Association

- Corporate Opening Form
- Transaction Form
- Investor Suitability Assessment Form for corporate (ISAF)
- Certified true copy of NRIC or Passport or valid document of identification of the **beneficial owners.
- Board Resolution (including specimen signatures) and list of authorized signatories or authorization for any person(s) to represent/ open/ operate on behalf of the organization and/ or certified true copy of extract minute.
- Company’s Constitution, Certificate of Incorporation/ Certificate of Registration Business Registration Certificate/ Relevant Constituent Documents or other similar documents
- Duly Certified true copy of Latest Form Section 17, Section 14, Section 46 (3), Section 58, Section 78 (Companies Act 2016) or equivalent form 9, 24, 44, 49 (Companies Act 1965) respectively.
- Specimen card (Directors and / or PDPA notes & Common Reporting Standard (CRS) Self-Certification Form (Individual/Entity Form, where Applicable) PBSN-01 Form Authorized.

PBSN reserve the right to request additional documents from applicant(s) to support the application.

3. CORPORATE APPLICANT

- This application must be completed and duly signed in accordance with the Board Resolution.
- Applicant must submit a certified copy of Memorandum and Articles of Association and the Board of Directors Resolution to PBSN and other documents that are required for reference as stated in this form.

4. ACCOUNT OPERATING INSTRUCTION

- The authorised signatories will be the only person recognised by PBSN as having the authority to effect transaction (redemption, transfer, switching and any other request or carry out any instruction in the operations of the account.

5. PAYMENT

a. Cash

- PBSN does not accept cash deposit cash or payment through the cash deposit machine. The investor needs to perform the transaction as per item (b) below.
- PBSN does not accept cash/monies from any third party or transfer to any third-party account.
- Unit Trust Consultants (UTC) is prohibited from making payments on behalf of customers from their personal bank accounts.
- UTC is prohibited to accept deposit/payment from customers into their personal bank accounts.

b. Cheque/bank draft/direct banking/online transfer/telegraphic transfer by investor ONLY to PBSN designated account below and NOT to any third-party accounts.

- Cheque, original deposit slip, online or transfer statement must be attached to this form. It must clearly state the Applicant’s full name, NRIC/ Passport number, Fund Name & Fund Account Number.
- The applicant may deposit cash/ cheque or make payment via online or telegraphic transfer into our BSN GIRO Account as below.
- The cheque/ bank drafts should be crossed and made payable as below.
- In case of using banking transaction at the bank, investor is not allowed to use the CDM Machine (Cash Depository machine) instead he/she needs to perform the transaction at the counter.

Fund	Account Number	Payable to
Amanah Saham Bank Simpanan Nasional	141002-986-450888-7 (BSN Giro)	Permodalan BSN Berhad
BSN Dana Al-Jadid		
BSN Dana Dividen Al-Ifrah		
BSN Dana Wakaf Al-Ikhlas		
BSN Dana Income Stream 1	564017000875 (Maybank)	Amanah Raya Trustees Berhad (ART-BSN DANA INCOME STREAM 1)

- c. The Manager will not accept any investment application which is incomplete or not accompanied by the required documents although payment has been credited into PBSN’s account.

6. COOLING-OFF PERIOD

- Investors have the right to request for a cancellation of their investment within 6 business days or any other period as mentioned in the Prospectus(es)/ Information Memorandum(s) and Supplemental(s) (if any) from the day of purchase, if he/she is entitled to. For details, please refer relevant Prospectus(es)/ Information Memorandum(s) and Supplemental(s) (if any).

7. DISTRIBUTION INSTRUCTION

- a. For Cash Plan, all distribution will be as per the provision in the relevant fund’s prospectus / Information Memorandum.

8. UNIT TRUST LOAN FINANCING RISK DISCLOSURE STATEMENT

Investing in a Unit Trust Fund with Borrowed Money is More Risky than Investing with Your Own savings.

You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:

- a. The higher the margin of financing (that is, the amount of money you borrow for every ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment.
- b. You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- c. If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal installments. If you fail to comply within the time prescribed, your units may be sold toward the settlement of your loan.
- d. Returns on unit trusts are not guaranteed and may not be earned evenly overtime. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or loss may be affected by the timing of the sale of your units. The value of a unit may fall just when you want your money back even though the investment may have done well in the past.
- e. This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take out a loan. If you are in doubt about any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.

9. RIGHT OF THE MANAGER

The Manager shall be entitled to reject any application for units at its sole discretion without having to furnish any reasons for its rejection to investors.

10. GENERAL

- a. The fund (with completed documents) must be received by PBSN before 4.00pm and if accepted, will be transacted at the NAV as determined at the end of the Business Day on which this application is received. Please refer the respective fund's prospectus / information memorandum.
- b. No certificates will be issued. Once your application is accepted, you will receive a transaction advice slip from the Manager indicating the number of units allotted and the confirmed NAV.
- c. All investors will be issued with a Statement of Account together with the Fund's semi-annual and annual reports.
- d. For the wholesale fund(s) investors will be issued with a monthly Statement of Account, quarterly and annual reports.
- e. In the case of joint applicants, investment statement, distribution and tax vouchers will be issued in the name of the first applicant.
- f. PBSN reserves the right to reassign another qualified person to replace applicant's consultant at any time it deems fit without having to give any reason whatsoever.
- g. The service rendered are subjected to applicable government taxes, if any.

11. CONTACT DETAILS

- The responsibility is on the applicant to notify PBSN of any change in address and contact number immediately to ensure continuity in the receipt of mails from the Manager. The investor may notice PBSN via e-mail info@pbsn.com.my.
- Transaction advice slips, statements of account and other documents shall be sent to the applicant's correspondence address as detailed in the Form, at the risk of the applicant. In the event of undelivered mails, PBSN reserves the right to send future mails to the business address as detailed in the Form until further notice from the applicant.
- All details shown in the transaction advice slips or statements of account are deemed to be correct unless PBSN is notified in writing of any discrepancy within 14 days of issue or 30 days of issue respectively.

12. INDEMNITY

- The applicant shall fully indemnify PBSN and any of their consultants against any actions, proceedings, claims, losses, damages, costs and expenses which may be brought against, suffered or incurred by any or all of them arising either directly or indirectly out of or in connection with PBSN accepting, relying on or failing to act on any instructions given by or on behalf of the applicant unless due to the wilful default or negligence of PBSN.
- The applicant acknowledges and accepts that PBSN has absolute discretion to rely on facsimile confirmation from the applicant and undertakes to indemnify and hold harmless PBSN, its employees and agents against all costs, expenses, losses, damages, claims and demands arising out of relying on the applicant's confirmation.

13. ANTIMONEY LAUNDERING STATEMENTS

The applicant hereby warrants that: -

- The applicant is the underlying principle of the Account (where applicable)
- No person other than the applicant has or will have any interest in the Account (where applicable); and
- All monies as may be paid to PBSN from time to time shall come from a legitimate (and not illegal) source.
- The applicant agrees to provide all such information and documents as may be necessary to verify the applicant's identity and do all such acts and things as may be necessary to enable PBSN to comply with all applicable anti-money laundering, counter financing of terrorism and counter proliferation financing (AML/ CFT/CPF), and know-your-customer laws, rules and regulations (whether in Malaysia or elsewhere). The applicant agrees that PBSN shall not be liable or responsible in anyway whatsoever and shall be held harmless against any loss arising as a result of or in connection with any delay or failure to process any application or transaction if such information or documents requested by PBSN have not been promptly provided by the applicant to PBSN.

14. PERSONAL DATA PROTECTION DATA ACT 2010 (Notice Pursuant to Section 7)

The Personal Data Protection Act 2010 (hereinafter referred to as the "Act"), which regulates the processing of personal data in commercial transactions, applies to Permodalan BSN Berhad (hereinafter referred to as "PBSN", "our", "us" or "we"). For the purpose of this personal data notice, the terms "personal data" and "processing" shall have the meaning prescribed in the Act.

This personal data notice applies to any person whose personal data is processed by PBSN.

1. This personal data notice serves to inform you how your personal data is being processed by or on behalf of PBSN.
2. The personal data processed by us may include your name, contact details, email address, username, password, information of any past breaches of or convictions under any law, the relevant services provided to you and any other personal data required for the purposes set out in paragraph 3 below.
3. Subject to the relevant laws, including Capital Markets and Services Act 2007 and Securities Commission Malaysia Act 1993, PBSN may use your personal data for the following purposes ("Purposes"):
 - To enable it to discharge its duties and obligations under the Capital Markets and Services Act 2007, the Securities Commission Act 1993, any other written law or any co-operation arrangement with any relevant authority.
 - To enable it to discharge its contractual obligations.
 - To provide investor and other capital market education events and activities.
 - To enable the resolution of a concern or complaint.
 - To create directories or data bases whether for publication or not.
 - To provide ongoing information about events and programs, our products, and services to people that we believe may be interested in such event, programs, products and services.
 - To provide services.
 - To research, develop and improve our events, programs, products, and services; and
 - For any other purpose that is incidental or ancillary or in furtherance to the above purposes.
4. Your personal data is collected from various sources, including information you have provided us, information from third parties and information in the public domain.
5. You may access and request for correction of your personal data. Please contact us using any of the following modes if you have any enquiries or complaints in respect of your personal data:

Designated Contact Person	:	Customer Service & Marketing
Mailing address	:	Permodalan BSN Berhad, Tingkat 2, blok A, Wisma Bank Simpanan Nasional, 117 Jalan Ampang, 50450 Kuala Lumpur
Telephone No.	:	603-2634 2200
Fax No.	:	603-2177 1300
E-mail address (inquiry/updates of information / data of the entity)	:	operation@pbsn.com.my
E-mail address (complaint)	:	complaint@pbsn.com.my

6. Subject to relevant laws, your personal data may be disclosed to:
 - a. our parent company, subsidiaries, related and associated companies.
 - b. our licensees, co-organisers of events, business partners and service providers.
 - c. Bursa Malaysia, Securities Commission, Federation of Investment Managers Malaysia, Bank Negara Malaysia, the Police, Malaysian Anti- Corruption Commission, Companies Commission of Malaysia, Registrar of Societies and other supervisory, governmental, or relevant authority.
 - d. any other stock or derivatives exchange, clearing house, securities depository authorised by the relevant laws.
 - e. the public at large by publishing the same in accordance with the relevant rules of Bursa Malaysia / Securities Commission / Federation of Investment Managers Malaysia.
 - f. auditors, professional firms, or entities: and

- g. any other person which PBSN may think fit, notwithstanding that any such persons may be outside Malaysia, for any of the above Purposes or any other purpose for which your personal data was to be disclosed at the time of its collection or any other purpose directly related to any of the above Purposes or where such disclosure is required or authorised by law or by the order of a court.
- 7. Unless otherwise specified by us at the time the personal data is collected, it is obligatory that you supply us with the personal data requested for by us.
- 8. If you fail to supply to us the above personal data, we may not be able to carry out the Purpose for which you have provided us the personal data and in addition, you may be in breach of the requirements of the relevant rules of Securities Commission / Federation of Investment Managers Malaysia.
- 9. Your personal data may be transferred to a place outside Malaysia.

By providing to us your personal data, you hereby consent to the processing of your personal data in accordance with all of the foregoing.

15. Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS)

I/We hereby give my/our consent to PBSN to report my/our information to regulatory or tax authorities in accordance with requirements of FATCA and CRS as may be stipulated by applicable laws, regulations, agreements or regulatory guidelines or directives.