

**PERMODALAN BSN BERHAD 199401034061(319744-W)**

Tingkat 2, Blok A, Wisma Bank Simpanan Nasional,
 117 Jalan Ampang, 50450 Kuala Lumpur.
 Tel : 03-2634 2200 Fax : 03-2177 1300 Email : info@pbsn.com.my
 Website: www.pbsn.com.my

ACCOUNT OPENING FORM (PBSN-01) - INDIVIDUAL

In accordance with the requirement of the Capital Market and Services Act 2007, this Form should not be circulated unless accompanied by the Prospectus(es)/ Information Memorandum(s) and Supplement (s) (if any). Investors are required to read and understand the contents in the Prospectus(es)/ Information Memorandum(s) and Supplemental(s) (is any) of the Funds before completing this Form.

Complete in **BLOCK LETTERS**, preferably in **BLACK INK** and Tick **(v)** where applicable. All items must be duly filled up accordingly.

INVESTMENT: Unit Trust Fund Wholesale Fund *(Please proceed to fill up Sophisticated Investor Declaration Form)*

FUND NAME (S): Amanah Saham Bank Simpanan Nasional BSN Dana Al-Jadid BSN Dana Dividen Al-Ifrah

BSN Dana Wakaf Al-Ikhlas BSN Dana Income Stream 1

Others (Please Specify): _____

1. PARTICULARS OF FIRST APPLICANT (ALL FIELDS ARE MANDATORY)	
Full Name (as per NRIC / Passport) :	NRIC / Passport No :
Marital Status: <input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Widowed <input type="checkbox"/> Divorced	Date of Birth (DD/MM/YYYY) :
Nationality: <input type="checkbox"/> Malaysian, Bumiputra <input type="checkbox"/> Malaysian, Non Bumiputra <input type="checkbox"/> Non-Malaysian (Country/Citizenship _____) <i>(Please specify)</i> <input type="checkbox"/> US Citizen/Resident/Permanent Resident/Green Card Holder* <i>*Please submit Form W-9 of US Department of the Treasury Internal Revenue Service. A copy of Form W-9 can be obtained from the US IRS website at http://www.irs.gov/pub/irs-pdf/fw9.pdf</i>	Race: <input type="checkbox"/> Malay <input type="checkbox"/> Chinese <input type="checkbox"/> Indian <input type="checkbox"/> Others _____ <i>(Please specify)</i>
	Gender: <input type="checkbox"/> Male <input type="checkbox"/> Female
	Religion: <input type="checkbox"/> Islam <input type="checkbox"/> Christian <input type="checkbox"/> Hindu <input type="checkbox"/> Buddhist <input type="checkbox"/> Others _____ <i>(Please specify)</i>
Employment: <input type="checkbox"/> Employed <input type="checkbox"/> Retiree <input type="checkbox"/> Housewife <input type="checkbox"/> Self Employed: _____ <i>(Please specify)</i>	Occupation (Please state) :
	Employer/Company's Name : Nature of Business :
Annual Income: <input type="checkbox"/> Below RM36,000 <input type="checkbox"/> RM36,001 – RM72,000 <input type="checkbox"/> RM72,001 – RM100,000 <input type="checkbox"/> RM100,001 – RM300,000 <input type="checkbox"/> RM300,001 – RM500,000 <input type="checkbox"/> RM500,001 – RM3,000,000 <input type="checkbox"/> RM3,000,001 and above	Source of Fund for Investment: <input type="checkbox"/> Business <input type="checkbox"/> Investment <input type="checkbox"/> Employment <input type="checkbox"/> Savings <input type="checkbox"/> EPF/PENSION <input type="checkbox"/> Inheritance <input type="checkbox"/> Others _____ <i>(please specify)</i>
Email :	Telephone/ Mobile No :
Residential Address :	

Correspondence/Mailing Address (Please complete if different from the above) :

Purpose of Transaction:

- Retirement Education Saving
 Others _____ (Please specify)

2. PARTICULARS OF JOINT APPLICANT (IF APPLICABLE - ALL FIELDS ARE MANDATORY)

Full Name (as per NRIC / Passport) :

NRIC / Passport No :

Marital Status: Single Married Widowed
 Divorced

Date of Birth (DD/MM/YYYY) :

Nationality:

- Malaysian, Bumiputra Malaysian, Non Bumiputra
 Non-Malaysian (Country/Citizenship)
_____ (Please specify)
 US Citizen/Resident/Permanent Resident/Green Card
Holder*

Race: Malay Chinese Indian
 Others _____ (Please specify)

Gender: Male Female

*Please submit Form W-9 of US Department of the Treasury Internal Revenue Service. A copy of Form W-9 can be obtained from the US IRS website at <http://www.irs.gov/pub/irs-pdf/fw9.pdf>

Religion: Islam Christian Hindu Buddhist
 Others _____ (Please specify)

Employment:

- Employed Retiree Housewife
 Self Employed: _____ (Please specify)

Occupation (Please state) :

Employer/Company's Name :

Nature of Business :

Annual Income:

- Below RM36,000
 RM36,001 – RM72,000
 RM72,001 – RM100,000
 RM100,001 – RM300,000
 RM300,001 – RM500,000
 RM500,001 – RM3,000,000
 RM3,000,001 and above

Source of Income:

- Business
 Investment
 Employment
 Savings
 EPF
 Inheritance
 Others _____ (Please specify)

Relationship with first principal : Parent Spouse Sibling Relatives Others _____ (Please specify)

Email :

Telephone/ Mobile No :

Residential Address :

Correspondence/Mailing Address (Please complete if different from the above) :

Purpose of Transaction:

- Retirement Education Saving
 Others _____ (Please specify)

3. DECLARATION PURSUANT TO COMMON REPORTING STANDARD (CRS) ON TAX RESIDENCY

Are you a tax resident (s) in any country other than Malaysia? Yes No

If YES, please list all countries/jurisdictions where the Entity is a resident for tax purposes and the associated taxpayer identification numbers ("TIN").

Country / Jurisdiction of Tax Residency	Taxpayer Identification Number ("TIN") / Income Tax Reference Number
Please provide reason (s) for not providing the "TIN".	

4. SOPHISTICATED INVESTOR DECLARATION (APPLICABLE FOR WHOLESALE FUND ONLY)

1. Principal Applicant (Individual) : High-Net-Worth Individual
 Accredited Investor
 Individual Consideration \geq RM250,000
2. Joint Applicant (Individual) : High-Net-Worth Individual
 Accredited Investor
 Individual Consideration \geq RM250,000

Notes:

- i. Please fill Sophisticated Investor Declaration Form after completed this application form.
ii. Please provide the relevant documents to support the status of Sophisticated Investor.

5. Distribution Instruction

(ONLY applicable for Amanah Saham Bank Simpanan Nasional.)

Reinvestment Payment by cheque / online transfer[^]. If online transfer, please provide (**NO** third parties payment is allowed):

Name of account : _____

Name of Bank : _____

Account Number : _____

* Subject to the terms and conditions in the disclosures document e.g. Master Prospectus / Information Memorandum and its updates from time to time.

[^] Please choose only one.

6. VULNERABLE CUSTOMER

Do any of the account holders listed above belong to any of the following groups? Please Tick (if yes)

- Old Age (above 65 years old)
 People with disabilities (hearing impairment, physical impairment, etc)
 Language communication barriers
 Poor financial literacy (unable to comprehend basic financial terms)
 Cognitive disabilities (mental impairment or illnesses)
 Life events (e.g. bankruptcy, a serious accident or illness, long-term financial hardship such as unemployment)

First Applicant: Yes No

Joint Applicant: Yes No

7. DECLARATION AND SIGNATURE (S)

I/ We hereby declare that have received, read, and understood.

1. the latest content of relevant Prospectus (es)/ InformationMemorandum(s) and Supplemental(s) if any and agree to abide bythe Notes and Conditions as specified/enclosed together with this form.
2. wish to invest in the Fund(s) mentioned above and agree to be bound by the provisions of the Deed(s) and Supplemental(s) if any.
3. declare that the above particulars are true and complete, and that no information was withheld that may influence the acceptance of this application.
4. declare that this application is not funded by gains from any unlawful activities.
5. am/are over 18 years old on this application date.
6. am/are aware on the fees and charges that will be incurred indirectly or indirectly when investing in the Fund.
7. irrevocably consent & authorize Permodalan BSN Berhad to disclose any information/documents relating to me/us from time to time as PBSN deems fit in its absolute discretion.
8. consent to the use of my personal data as per notice (please read the Personal Data Protection Act 2010 under Notes and Conditions).
9. understand that Permodalan BSN Berhad reserves the right to revise the notice from time to time and shall place any such revision on its website and/or by such other means of communication.
10. Accepted if the documentation/ report is sent to us via digital i.e. email.

personal data information given by me/us is accurate, complete, and not misleading.

Principal Applicant Signatory

Name of Principal Applicant:

Date :

Joint Applicant Signatory (If any)

Name of Joint Applicant:

Date :

FOR DISTRIBUTOR'S USE ONLY		FOR PBSN OFFICE USE ONLY		
<input type="checkbox"/> CUTA <input type="checkbox"/> IUTA <input type="checkbox"/> UTC	Name:	Remarks		Attended By/ Date
	Code:			Approved By/ Date
	Date:	Received By/Date	Processed By/Date	Verified By/ Date

Latest updated on 11 December 2024

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IMPORTANT NOTES

NOTES AND CONDITIONS – Please read the following before completing this Form. By applying for units of the Funds managed by Permodalan BSN Berhad (“PBSN” or “the Manager”), you are bound by the terms stated below.

1. INSTRUCTIONS

All instructions given or purported to be given via any written or facsimile transmission by the applicant, as named in this Form or otherwise in writing are binding on the applicant. Duly completed forms or instructions from the applicant are deemed irrevocable.

2. DOCUMENTS REQUIRED

- a. Principal/Joint Applicant – (Cash Investment)
- Individual Opening Account Form PBSN-01
 - Transaction Form PBSN-01
 - A copy of the photocopy NRIC/passport/ or other accepted official document of identification.
 - Investor Suitability Assessment Form (ISA)
 - FIMM form PBSN-01
 - Important Notes and Conditions
 - PDPA notes & Common Reporting Standard (CRS) Self-Certification Form (Individual/Entity Form, where Applicable) PBSN-01 Form
 - Common Reporting Standard (CRS) Self-Certification Form (Individual/Entity Form, where Applicable)

3. FIRST APPLICANT

- a. Applicant must be 18 years of age and above as at the date of application.
 b. Applicant must sign on this application form and enclose a photocopy of the NRIC/Passport/ legal identification document.

4. JOINT APPLICANT

- Joint applicant is also required to sign this form.
- A joint applicant who is under 18 years of age need not sign this form. Instead, a photocopy of the NRIC or Birth Certificate is required.
- In the event of the death of a joint applicant, the survivor will be the only person recognised by the Manager as having any title to or interest in the units.
- Units registered in joint names with a minor can be redeemed or transferred by the parent/guardian. On or after the minor's 18th birthday, the parent/guardian may request that the units be transferred to the minor's name. In the event of the death of the parent/guardian, the Executor or Administrator of the deceased's estate will be the only person recognized by the Manager as having title to such units.

5. ACCOUNT OPERATING INSTRUCTION

- The operating instruction empowers the authorised signatory (ies) to operate the account.
- In all cases, the first-named Unit Holder will receive the proceeds of the redemption.
- Proceeds cannot be paid to any third party's account.

6. PAYMENT

- a. Cash
- PBSN does not accept cash, deposit cash or payment through the cash deposit machine. The investor needs to perform the transaction as per item (b) below.
 - PBSN does not accept cash/monies from any third party or transfer to any third-party account.
 - Unit Trust Consultants (UTC) is prohibited from making payments on behalf of customers from their personal bank accounts.
 - UTC is prohibited to accept deposit/payment from customers into their personal bank accounts.
- b. Cheque/bank draft/direct banking/online transfer/telegraphic transfer by investor ONLY to PBSN designated account below and NOT to any third-party accounts.
- Cheque, original deposit slip, online or transfer statement must be attached to this form. It must clearly state the Applicant's full name, NRIC/ Passport number, Fund Name & Fund Account Number.
 - The applicant may deposit cash/ cheque or make payment via online or telegraphic transfer into our BSN GIRO Account as below.
 - The cheque/ bank drafts should be crossed and made payable as below.
 - In case of using banking transaction at the bank, investor is not allowed to use the CDM Machine (Cash Depository machine) instead he/she needs to perform the transaction at the counter.

Fund	Account Number	Payable to
Amanah Saham Bank Simpanan Nasional	141002-986-450888-7 (BSN Giro)	Permodalan BSN Berhad
BSN Dana Al-Jadid		
BSN Dana Dividen Al-Ifrah		
BSN Dana Wakaf Al-Ikhlas		
BSN Dana Income Stream 1	564017000875 (Maybank)	Amanah Raya Trustees Berhad (ART-BSN DANA INCOME STREAM 1)

- c. The Manager will not accept any investment application which is incomplete or not accompanied by the required documents although payment has been credited into PBSN's account.

7. COOLING-OFF PERIOD

- Investors have the right to request for a cancellation of their investment within 6 business days or any other period as mentioned in the Prospectus(es)/ Information Memorandum(s) and Supplemental(s) (if any) from the day of purchase, if he/she is entitled to. For detail, please refer relevant Prospectus(es)/ Information Memorandum(s) and Supplemental(s) (if any).

8. UNIT TRUST LOAN FINANCING RISK DISCLOSURE STATEMENT

Investing in a Unit Trust Fund with Borrowed Money is More Risky than Investing with Your Own savings.

You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:

- a. The higher the margin of financing (that is, the amount of money you borrow for every ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment.
- b. You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- c. If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal installments. If you fail to comply within the time prescribed, your units may be sold toward the settlement of your loan.
- d. Returns on unit trusts are not guaranteed and may not be earned evenly overtime. This means that there may be some years where returns are high and other years where losses

are experienced. Whether you eventually realise a gain or loss may be affected by the timing of the sale of your units. The value of a unit may fall just when you want your money back even though the investment may have done well in the past.

- e. This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take out a loan. If you are in doubt about any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.

9. DISTRIBUTION INSTRUCTION

- a. For Cash Plan, all distribution will be as per the provision in the relevant fund's prospectus/ Information Memorandum.
- b. Please refer to the relevant Master Prospectus, Prospectus, Supplementary Prospectus (if any) and/or Information Memorandum for income distribution policy. If you opt for payout option, you are required to provide your bank account details for direct crediting purpose. In the absence of a valid bank account, the distribution (if any) will be reinvested. Payment cannot be made to accounts in the name of third parties. For joint account, the bank account provided could either be in the name of the principal account holder or in the names of both account holders.

10. RIGHT OF THE MANAGER

The Manager shall be entitled to reject any application for units at its sole discretion without having to furnish any reasons for its rejection to investors.

11. GENERAL

- a. The fund (with completed documents) must be received by PBSN before 4.00pm and if accepted, will be transacted at the NAV as determined at the end of the Business Day on which this application is received. Please refer the respective fund's prospectus / information memorandum.
- b. No certificates will be issued. Once your application is accepted, you will receive a transaction advice slip from the Manager indicating the number of units allotted and the confirmed NAV.
- c. All investors will be issued with a Statement of Account together with the Fund's semi-annual and annual report.
- d. For the wholesale fund(s) investors will be issued with a monthly Statement of Account, quarterly and annual reports.
- e. In the case of joint applicants, investment statement, distribution and tax vouchers will be issued in the name of the first applicant.
- f. PBSN reserves the right to reassign another qualified person to replace applicant's consultant at any time it deems fit without having to give any reason whatsoever.
- g. The service rendered are subjected to applicable government taxes, if any..

12. CONTACT DETAIL

- The responsibility is on the applicant to notify PBSN of any change in address and contact number immediately to ensure continuity in the receipt of mails from the Manager. The investor may inform / notify PBSN via e-mail operation@pbsn.com.my.
- Transaction advice slips, statements of account and other documents shall be sent to the applicant's correspondence address as detailed in the Form, at the risk of the applicant. In the event of undelivered mails, PBSN reserves the right to send future mails to the business address as detailed in the Form until further notice from the applicant.
- All details shown in the transaction advice slips or statements of account are deemed to be correct unless PBSN is notified in writing of any discrepancy within 14 days of issue or 30 days of issue respectively.

13. INDEMNITY

- The applicant shall fully indemnify PBSN and any of their consultants against any actions, proceedings, claims, losses, damages, costs and expenses which may be brought against, suffered or incurred by any or all of them arising either directly or indirectly out of or in connection with PBSN accepting, relying on or failing to act on any instructions given by or on behalf of the applicant unless due to the wilful default or negligence of PBSN.
- The applicant acknowledges and accepts that PBSN has absolute discretion to rely on facsimile confirmation from the applicant and undertakes to indemnify and hold harmless PBSN, its employees and agents against all costs, expenses, losses, damages, claims and demands arising out of relying on the applicant's confirmation.

14. ANTIMONEY LAUNDERING STATEMENTS

The applicant hereby warrants that: -

- The applicant is the underlying principle of the Account (where applicable)
- No person other than the applicant has or will have any interest in the Account (where applicable); and
- All monies as may be paid to PBSN from time to time shall come from a legitimate (and not illegal) source.
- The applicant agrees to provide all such information and documents as may be necessary to verify the applicant's identity and do all such acts and things as may be necessary to enable PBSN to comply with all applicable anti-money laundering, counter financing of terrorism and counter proliferation financing (AML/ CFT/CPF), and know-your-customer laws, rules and regulations (whether in Malaysia or elsewhere). The applicant agrees that PBSN shall not be liable or responsible in anyway whatsoever and shall be held harmless against any loss arising as a result of or in connection with any delay or failure to process any application or transaction if such information or documents requested by PBSN have not been promptly provided by the applicant to PBSN.

15. PERSONAL DATA PROTECTION DATA ACT 2010 (Notice Pursuant to Section 7)

The Personal Data Protection Act 2010 (hereinafter referred to as the "Act"), which regulates the processing of personal data in commercial transactions, applies to Permodalan BSN Berhad (hereinafter referred to as "PBSN", "our", "us" or "we"). For the purpose of this personal data notice, the terms "personal data" and "processing" shall have the meaning prescribed in the Act.

This personal data notice applies to any person whose personal data is processed by PBSN.

- This personal data notice serves to inform you how your personal data is being processed by or on behalf of PBSN.
- The personal data processed by us may include your name, contact details, email address, username, password, information of any past breaches of or convictions under any law, the relevant services provided to you and any other personal data required for the purposes set out in paragraph 3 below.
- Subject to the relevant laws, including Capital Markets and Services Act 2007 and Securities Commission Malaysia Act 1993, PBSN may use your personal data for the following purposes ("Purposes"):
 - To enable it to discharge its duties and obligations under the Capital Markets and Services Act 2007, the Securities Commission Act 1993, any other written law or any co-operation arrangement with any relevant authority.
 - To enable it to discharge its contractual obligations.
 - To provide investor and other capital market education events and activities.
 - To enable the resolution of a concern or complaint.
 - To create directories or data bases whether for publication or not.
 - To provide ongoing information about events and programs, our products, and services to people that we believe may be interested in such event, programs, products and services.
 - To provide services.
 - To research, develop and improve our events, programs, products, and services; and
 - For any other purpose that is incidental or ancillary or in furtherance to the above purposes.
- Your personal data is collected from various sources, including information you have provided us, information from third parties and information in the public domain.
- You may access and request for correction of your personal data. Please contact us using any of the following modes if you have any enquiries or complaints in respect of your personal data:

Designated Contact Person	:	Customer Service & Marketing
Mailing address	:	Permodalan BSN Berhad, Tingkat 2, blok A, Wisma Bank Simpanan Nasional, 117 Jalan Ampang, 50450 Kuala Lumpur
Telephone No.	:	603-2634 2200
Fax No.	:	603-2177 1300

E-mail address (inquiry/updates of personal data)	:	operation@pbsn.com.my
E-mail address (complaint)	:	complaint@pbsn.com.my

6. Subject to relevant laws, your personal data may be disclosed to:
- our parent company, subsidiaries, related and associated companies.
 - our licensees, co-organisers of events, business partners and service providers.
 - Bursa Malaysia, Securities Commission, Federation of Investment Managers Malaysia, Bank Negara Malaysia, the Police, Malaysian Anti- Corruption Commission, Companies Commission of Malaysia, Registrar of Societies and other supervisory, governmental, or relevant authority.
 - any other stock or derivatives exchange, clearing house, securities depository authorised by the relevant laws.
 - the public at large by publishing the same in accordance with the relevant rules of Bursa Malaysia / Securities Commission / Federation of Investment Managers Malaysia.
 - auditors, professional firms, or entities: and
 - any other person which PBSN may think fit, notwithstanding that any such persons may be outside Malaysia, for any of the above Purposes or any other purpose for which your personal data was to be disclosed at the time of its collection or any other purpose directly related to any of the above Purposes or where such disclosure is required or authorised by law or by the order of a court.
7. Unless otherwise specified by us at the time the personal data is collected, it is obligatory that you supply us with the personal data requested for by us.
8. If you fail to supply to us the above personal data, we may not be able to carry out the Purpose for which you have provided us the personal data and in addition, you may be in breach of the requirements of the relevant rules of Securities Commission / Federation of Investment Managers Malaysia.
9. Your personal data may be transferred to a place outside Malaysia.

By providing to us your personal data, you hereby consent to the processing of your personal data in accordance with all of the foregoing.

16. Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS)

I/We hereby give my/our consent to PBSN to report my/our information to regulatory or tax authorities in accordance with requirements of FATCA and CRS as may be stipulated by applicable laws, regulations, agreements or regulatory guidelines or directives.